Comment Submitted Regarding

Food and Drug Administration Actions Related to Nicotine Replacement Therapies and Smoking-Cessation Products; Report to Congress on Innovative Products and Treatments for Tobacco Dependence; Public Hearing; Extension of Comment Period

FDA-2012-N-1148-0011

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January 16, 2013

The FDA attempted to block the import of e-cigarettes into the U.S. as unregulated medical (drug [nicotine] delivery) devices under the Food, Drug and Cosmetics Act until such time that they were submitted to and approved by the FDA as drug delivery devices. Sottera Inc., the company that promotes NJOY e-cigarettes, sued the FDA for an injunction against the FDA's detention of their product in part on the basis that their e-cigarette products were *not* therapeutic drug delivery devices, but intended for and marketed as recreational products.¹ Sottera, Inc. prevailed at both the trial court and the Court of Appeals, which rejected the FDA's position that e-cigarettes were unapproved drug devices and agreed with Sottera, Inc. The ruling stated that the FDA could not regulate e-cigarettes as drug delivery devices and could only regulate e-cigarettes as tobacco products under the Family Smoking Prevention and Tobacco Control Act because the products were derived from tobacco and were *not* being marketed with claims of therapeutic benefit.² On the other hand, the Court of Appeals in *Sottera Inc. v. Food & Drug Administration*³ ruled that the FDA has express authority to regulate e-cigarettes when e-cigarette products are "therapeutically marketed," like nicotine gums and transdermal patches, since then they would fall under the jurisdiction of the drug/device provisions of the Federal Food, Drug, and Cosmetic Act (FDCA)⁴.

Given the position the e-cigarette company took in the litigation against the FDA, we were surprised to find that Sottera, Inc., which promotes the e-cigarette brand NJOY, as well as VMR Products LLC, which promotes the e-cigarette brand V2Cigs, as well as the e-cigarette industry's trade association, Smokefree Alternatives Trade Association (SFATA) have been directing their consumers to provide public comment to this docket regarding a "Report to Congress on Innovative Products and Treatments for Tobacco Dependence" in their direct e-mail (Figure 1) and on their websites (Figure 2). This action indicates that these companies are concerned about how the FDA could characterize the use of these products in its report to Congress on "products and treatments for tobacco dependence."

In addition to urging their customers to comment on this docket regarding products and treatments for nicotine dependence, e-cigarette companies have explicitly and implicitly made therapeutic claims about their products in their online marketing and promotional materials. E-cigarette websites provide information and feature testimonials from customers about how e-cigarettes help smokers curb and eventually quit smoking (Figures 3 and 4). Specifically, sites contain language such as "E cigarette helps you to slowly decrease your nicotine addiction without the stress of giving up the behavioural pleasures of smoking" (Figure 3).

The e-cigarette companies' websites also contain sections of sites that explain how an e-cigarette could be used to quit smoking, as seen on www.usaecigarette.com and www.apollo.com (Figure 3). The testimonials on the websites often imply that their e-cigarette products will help their customers quit smoking (Figure 4). For example, on www.vapornine.com (January 14, 2013):

¹ Note 1, *supra*

² 680 F . Supp. 2d 62 (D.D.C. 2010)

³ 627 F. 3d 891 (U.S. App. DC 2010)

⁴ 21 U.S.C. section 351 *et seq*.

"I just wanted to let you know that you have changed my life! I LOVE my vapornine supplies and I was able to completely quit the same week I started vaping. I am now sickened by the smell of cigarettes, which never happened before, not even during any of the other brief periods of time during which I was able to temporarily quit..."

Likewise, a testimonial posted on www.smokestik.com (January 14, 2013) explicitly compared e-cigarettes to FDA regulated therapeutic products used for smoking cessation treatments:

"Just wanted to let you know how much I love your product. I have smoked for over 30 years and thanks to Smoke Stik I have quit. I know that this product was not meant to be a quitting type of product but it worked for me. I tried the patches, gum, mints and Chantix...none of them worked. I now use the non nicotine flavor and just the thoughts of having it in my hand and the "smoke" coming out, I quit thanks to your product." (Figure 4)

Publishing these testimonials on websites controlled by e-cigarette companies represents promotion of ecigarettes as therapeutic devices, despite the fact that many of the websites also contain disclaimers in tiny print stating that the products are not intended for smoking cessation (example in Figure 5).

Whether the tobacco product is marketed for therapeutic use is gleaned from the universe of the product's marketing. For FDA regulatory purposes, the "intended use" of a product is determined by "the objective intent of the persons legally responsible" for labeling the product. 21 C.F.R. § 201.128. Objective intent may be shown, for example, "by labeling claims, advertising matter, or oral or written statements" by the labeler. *Id.* It may also be shown "by the circumstances that the article is, with the knowledge of such persons or their representatives, offered and used for a purpose for which it is neither labeled nor advertised." *Id.* By posting customer comments and testimonials on websites controlled by the e-cigarette marketers, they clearly have knowledge that their products are "used" by those customers as either a smoking cessation therapeutic device. Furthermore, the fact that these companies and their trade association, SFATA, have been encouraging their consumers to submit public comments to a docket about smoking cessation products can be read no other way than they are promoting their products as having therapeutic benefit.

At least one site, https://rivosig.com, explicitly acknowledges that the company, RivoSig, should not be making smoking cessation claims on their site (Figure 6). In a blog post RivoSig states: "Now legally we can't say that RivoSig ecigarettes are a smoking cessation product, nor can we tell you they are better for you (those legal pencil-pushers at the FDA would get there panties ruffled if we did). However, what we can offer is unbiased knowledge and research that comes from reputable sources"(Figure 6). It is unclear to the reader to which reputable sources they refer, as no evidence of the knowledge or research is actually offered with this statement (Figure 6).

In the *Sottera* decision, Judge Williams wrote: "Still, the district court noted that the factual record on NJOY is meager and that the FDA may establish that NJOY does in fact make therapeutic claims regarding its electronic cigarettes. Mem. Op. at 25 n.17. Until such time, the definitional line laid down in Brown & Williamson (as we understand it) leaves the FDA without jurisdiction over these products under the FDCA's drug/device provisions." The Sottera decision holds that the FDA could and should regulate e-cigarettes as drugs/devices under the FDCA if they are found to be "therapeutically marketed."

The e-cigarette companies cannot have it both ways. They cannot claim they are regular tobacco products that, as "customarily marketed," may only be regulated as a tobacco product under the Family Smoking Prevention and Tobacco Control Act⁵, thus avoiding regulation as medical devices and the burden of proving safety and therapeutic benefit, and then create the impression through their marketing and direct consumer communication they have submitted therapeutic claims to the FDA and won approval for them.

The FDA should take the following actions as a result of the information presented in this comment:

⁵ Pub. L. 111-31, June 22, 2009

- 1. Make note of the therapeutic and health claims made and promoted by e-cigarette companies in its Report to Congress on Innovative Products and Treatments for Tobacco Dependence, comment on the lack of evidence to support such claims, and inform Congress that the FDA is taking actions now to stop such claims from being made until such time as the companies submit scientific evidence to substantiate these therapeutic claims.⁶
- 2. Immediately order the companies to stop making claims (direct and implied) that e-cigarettes are effective cessation devices, including ordering the companies to remove and not post further testimonials to this effect.
- 3. Work with the Federal Trade Commission to prohibit such claims as false advertising until such time that they have been scientifically substantiated and approved by the FDA.

⁶ As a separate issue from the promotion of e-cigarettes as a smoking cessation therapy, the e-cigarette companies also promote their products as healthier alternatives to conventional cigarettes. In the District Court decision in the *Soterra* case, Judge Leon stated: "To the extent those products are marketed as providing the same experience as traditional cigarettes but without the negative health consequences associated with tar and smoke, they fall within the plain meaning of 'modified risk tobacco product,' which the Tobacco Act defines as any tobacco product 'sold or distributed for use to reduce harm or the risk of tobacco-related disease associated with commercially marketed tobacco products'" (680 F. Supp. 2d 62 at 75 (D.D.C. 2010), *affd*, 627 F. 3d 891 (U.S. App. DC 2010)). E-cigarettes have not been approved as modified risk tobacco products as required by section 911 of the Tobacco Control Act and cannot lawfully be promoted as such. The FDA could take immediate action to halt this unlawful promotion activity.

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Action Requested by Wednesday, 1/16/2013 The FDA announced it will regulate dectronic cigarettes under the Tobacco FDA. Control Act. See announcement here. This added regulation could have a negative impact on the availability, accessfully and cost of V2 Cigs and other e-cig brands' products. But you can make sure that your opinion is heard! If V2 Cigs electronic cigarettes have made a postive difference in your life, please share your show with the FDA. Ask them not to implement additional regulations which would link your ability to comment two taxing on the FDA weeksel? You: an click on the blue Comment two taxing on the FDA weeksel? You: an click on the blue Comment two taxing on the FDA weeksel? You: an click on the blue Comment two taxing on the FDA weeksel? You: an click on the blue Comment two taxing on the FDA weeksel? You: an click on the blue Comment two taxing the comment of the FDA weeksel? You: an instance of public hearing on the FDA weeksel? You: an instance of the DA weeksel? You: and the second and you you and you then the same. Together, we will be heard! Thank you for your continued support. Sincerely, Y2 Cigs. Team Sincerely, Y2 Cigs. Team SFATA Please void. The Sinoke Free Attematives Trade Association, or SFATA, website for the taxes most. STATA as as urging customers to Trade information where it maters most. STATA as associations, or SFATA, website for the taxes most. STATA as association to docket FI 12-N-1148-0011 on a report to Congress about smoking cessation therapies from NJOY, Janu ,2013 (top) and V2Cigs, January 14, 2013 (bottom). The links in these emails weer: R NJOY: http://www.regulations.gov/?utm. source=NJOY+Customer+List&utm. campaign=f15e1666e A email 11jan2013 customerlist&utm medium=email#!submitComment;D=FDA-2012-N-1148-0011 R			
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If V2 Cigs electronic cigarettes have made a positive difference in your life, please share your story with the FDA. Ask them not to implement additional regulations which would limit your ability to betain V2 Cigs. Read the notice of public hearing on the FDA website. You can cilck on the blue Comment Now button in the upper right corner of the FDA's site to submit your comments through Wednesday, January 16, 2013. Your opinion matters! This is your chance to make a difference for the entire electronic cigarette industry. Visit the FDA Website today and encourage your friends to do the same. Together, we will be heard! Thank you for your continued support. Sincerely, V2 Cigs Team. SFATA Please wait The Smoke Free Alternatives Trade Association, or SFATA's, website for the latest news affecting the electronic cigarette industry. From pending legislation to scientific studies, SFATA is also urging customers to up-to-date information where it matters most. SFATA is also urging customers to up-to-date information where it matters most. SFATA is also urging customers to up-to-date information where it matters most. SFATA is also urging customers to up-to-date information where it matters most. SFATA is also urging customers to up-to-date information where it matters most. SFATA is also urging customers to up-to-date information where it matters most. SFATA is also urging customers to up-to-date information where it matters most. SFATA is also urging customers to up-to-date information where it matters most. SFATA is also urging customers to up-to-date information where it matters most. SFATA is also urging customers to up-to-date information where it matters most. SFATA is also urging customers to up-to-date information where it matters most. SFATA is also urging customers to up-to-date information where it matters most. SFATA is also u		Control Act. See announcement here. This added regul negative impact on the availability, accessibility and cos	lation could have a
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therapies . The links in these pages were:

FOR V2: http://www.v2cigs.com/blog/2013/01/help-us-tell-the-fda-not-to-further-regulate-electronic-cigarettes/ and FOR SFATA: Went to another page: http://www.sfata.org/call-to-action/ On that page if you clicked the link at the bottom it went here: http://www.regulations.gov/#!documentDetail;D=FDA-2012-N-1148-0011



Is there a possibility of a relapse?



Figure 3. Presence of therapeutic claims on e-cigarette websites.

Information about using an e-cigarette to "slowly decrease your nicotine addiction without the stress of giving up the behavioural pleasures of smoking" on the homepage of www.usaecigarette.com (top) and information about how Altimoff e-cigarettes can be used to quit smoking in the FAQ section of www.usaecigarette.com (middle), January 15, 2013. Information on Apollo Ecigs website: "How do people use e-cigarettes to quit smoking" http://www.apolloecigs.com/What-is-an-Electronic-cigarette-s/67.htm (bottom), January 15, 2013

Just wanted to let you know how much I love your product. I have smoked for over 30 years and thanks to Smoke Stik I have quit. I know that this product was not meant to be a quitting type of product but it worked for me. I tried the patches, gum, mints and Chantix....none of them worked. I now use the non nicotine flavor and just the thoughts of having it in my hand and the "smoke" coming out, I quit thanks to your product.

Deborah

Testimonial about quitting with the e-cigarette in contrast to approved smoking cessation therapies on www.smokestik.com

Katie - Alexandria, VA

"I just wantted to let you know that you have changed my life! I LOVE my vapornine supplies and I was able to completely quit smoking the same week I started vaping. I am now sickened by the smell of cigarettes, which never happened before, not even during any of the other brief periods of time during which I was able to temporarily quit... I used all my business cards (as several friends have ordered too). When you print more, I will be happy to hand some out. I will ask for them in my next order."

Testimonial about quitting smoking with the e-cigarette on www.vapornine.com

Figure 4. Testimonials on e-cigarette websites regarding using the e-cigarette to quit smoking, www.smokestik.com (top) and www.vapornine.com (bottom) January 14, 2013

	30 DAY Money Back Cuarantee No Risk. No Obligation. Lam More > IFFETIME Warranty Work for Forecom Lam More > IFFETIME Warranty Work No Obligation. Lam More > Image: Comparison of the forecom Lam More			
	NEWSLETTER SIGN UP TO RECEIVE SPECIAL OFFERS AND PROMOTIONS FROM V2CIGS. Enter Email Address Image: Solution of the second s			
	YOU MUST BE OF LEGAL SMOKING AGE TO BUY AND/OR USE ANY V2 CIGS PRODUCT. WARNING: This product is not intended for use by persons under legal smoking age, nonsmokers, women who are pregnant or breastfeeding, or persons with or at risk of heart disease, high blood pressure, diabetes, or taking medicine for depression or asthma. This product contains nicotine. If you are allergic to nicotine, propylene glycol, or any combination of inhalants, if you are pregnant or breast-feeding, or if you have a heart condition, diabetes, high blood pressure or asthma, consult your physician before using any V2 Cigs products. Discontinue use and consult a physician if you experience nicotine misuse symptoms such as nausea, vomiting, dizziness, diarrhea, weakness and rapid heartbeat. Our products do not treat, diagnose, or cure any disease, physical aliment, or condition. Keep out of the reach of children and pets as ingestion of certain pieces can present a choking hazard. Nicotine can be toxic if inhaled or ingested in large doses, and may cause irritation to eyes and skin if exposed. Wash immediately with soap and water upon contact. Nicotine is highly addictive and habit forming. CALIFORNIA PROPOSITION 65 Warning: This product contains nicotine, a chemical known to the state of California to cause birth defects or other reproductive harm. V2 Cigs products are not marketed for use as a smoking cessation product. Just like traditional tobacco cigarettes, V2 Electronic Cigarettes are not approved by the American FDA.			
product	gure 5. Disclaimer on the bottom of the www.v2cigs.com homepage. The last line read: "V2Cigs ducts are not marketed for use as a smoking cessation product. Just like traditional tobacco cigarettes, Electronic Cigarettes are not approved by the American FDA."			

	Posted in RivoSig Blog and tagged with assistance, contribute, tragedy, donate, support, help, donation, shooting, school, elementary, sandy hook, rivosig by RivoSig®. Shape Magazine on Electronic Cigarettes Posted on December 3, 2012		
	I think we can all agree that cigarettes are not found neatly under the "Health Concious Things To-Do" category. If it's not the graphic television advertising, online media, or the public service announcements you can probably figure that out from the warnings plastered on every single pack. Cigarettes are indeed horrible for you. They are littered with over 4,000 toxic chemicals, they cause lung disease and emphysema and the heavy-hittercancer. So many people have tried to quit smoking. Some choose the gum, the patch, or even cold turkey (hats-off to those who succeed on that one).		
	I suppose when you break it down simplistically, when you smoke a traditional cigarette your intent is to obtain nicotine into your bloodstream towelltake the edge off, relax a bit, etc. Whatever the reason it IS fact that the nicotine you are putting into your lungs is riding the coat-tails of several disgusting toxic, and deadly chemicals. It's kind of like someone incasing your favorite food in rat poison and despite the obvious death wish, you just chew right through the poison to get to the tasty morsels that are held within. Well cigarettes are no different. You want the nicotine right? Why get it into your lungs with the schmorgesborg of toxins when all you really want is the nicotine?		
	Electronic cigarettes offer that gateway. Oh yea, and instead of the toxins paving the way to your lungs, it's distilled water vapor, glycerin (a sugar product), flavoring and nicotine purely extracted from tobacco leaves. Basically, you're sucking in steam and some ingredients to give the e-cig a nice flavor along with the nicotine. Sounds a bit better than putting your mouth on an exhaust pipe right?		
	Now legally we can't say that RivoSig e-cigarettes are a smoking cessation product, nor can we tell you they are better for you (those legal pencil-pushers at the FDA would get there panties ruffled if we did). However, what we can offer is unbiased knowledge and research that comes from reputable sources.		
	In this series of our blog posts we are going to dig up the research goodies for you. Why should you have to search?		
	This weeks post features an article from Shape Magazine. Yes, Shape Magazine. You know, the health-conscious magazine that would probably spontaneously combust if you even put it <i>next</i> to a pack of cigarettes.		
	Take a lookwe'll let their editors do the talking for us:		
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Figure 6. Full text of the blog entry on the RivoSig site with accompanying image on January 14, 2013: https://rivosig.com/blog/#.UPCFhdXCnWB. Where it says "Click here to read the PDF" is a link to https://rivosig.com/media/wysiwyg/rivosig-electronic-cigarettes-shape-magazine.pdf			