### UNIVERSITY OF CALIFORNIA

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October 7, 2011

#### THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

I am pleased to provide the enclosed report on the implementation of RE-89, the Regental resolution passed on September 20, 2007, requiring special review, approval, and reporting procedures for proposals to obtain research funding from the tobacco industry.

Under RE-89, the President is to prepare and submit to The Regents an annual report summarizing the number of proposals submitted to the scientific review committee established by each campus pursuant to RE-89, the number approved, and the number funded, along with a description or abstract of each proposal. To that end, my office asked each campus to provide this data, along with additional related information. The campus responses are summarized in the enclosed report and are included in the Appendix. Through the end of FY 2011, no campus reported having had any new research proposals or new research awards from a tobacco industry sponsor.

Please do not hesitate to let me know if you have any questions or concerns about the enclosed report.

With best wishes, I am,

Sincerely, yours,

Mark G. Yudof President

Enclosures

cc: Chancellors

#### PRESIDENT'S REPORT TO THE UNIVERSITY OF CALIFORNIA BOARD OF REGENTS: FY 2011 REPORT ON PROPOSALS SEEKING RESEARCH FUNDING FROM THE TOBACCO INDUSTRY

#### BACKGROUND

On September 20, 2007, the Board of Regents approved RE-89, a resolution put forward by the Committee on Finance, requiring Chancellors to adopt special review, approval, and reporting procedures for proposals to obtain research funding from the tobacco industry. The adopted resolution replaced an earlier version of RE-89 (which would have restricted University acceptance of such funding).

The full text of RE-89, as adopted, can be found starting on Page 37 of the September 20, 2007 approved Regents Minutes found at: http://www.universityofcalifornia.edu/regents/minutes/2007/board907.pdf.

RE\_89 directs each Chancellor to establish a policy requiring that prior to the submission of any proposal to seek research funding from the tobacco industry, the research proposal must be reviewed by a scientific review committee designated by the Chancellor for this purpose, and that the proposal must be approved by the Chancellor prior to submission. RE-89 requires that The Regents shall receive timely notice of such grants and a description of the research to be undertaken, and that for each proposal reviewed, The Regents shall receive a copy of the scientific review committee's report and a copy of the Chancellor's determination letter (stating whether the proposal is to be approved for submission, along with the rationale for the determination).

Under RE-89, The Regents also directed the President to prepare and submit to The Regents "an annual report summarizing the number of proposals submitted to the scientific review committee, the number approved, and the number funded, along with a description or abstract of each proposal." The reporting requirement is to be kept in place for at least five years, after which the President is to consult with The Regents to evaluate whether the reporting requirement should be continued.

In September, 2008, the President submitted the first annual report to the Regents, including not only specific data about proposals seeking research funding from the tobacco industry, but also information about systemwide advice that had been distributed to help each campus implement RE-89 and information about the steps each campus had taken to implement the new review and approval procedures. As reported FY 2009, all ten University of California campuses adopted policies and/or procedures to implement RE-89.

Provided below and in the attached Appendix is data regarding proposals seeking research funding from the tobacco industry as well as research funding received from the tobacco industry for Fiscal Year 2011 (July 1, 2010 through June 30, 2011).

Page 1

RE-89 Implementation Report – 09/30/11

#### **CAMPUS DATA**

1

For the reporting period (July 1, 2010 through June 30, 2011), no campus reported having a research project that underwent a special scientific review as established under RE-89.

Two campuses (Irvine and Los Angeles) reported a total of two ongoing tobacco-industry funded research projects that were initiated prior to RE-89's passage.

In all cases, these projects were originally funded by Philip Morris USA. The two projects amount to \$2,883,471 in funding.

The individual campus RE-89 implementation reports are attached in the Appendix to this report.

### APPENDIX

## CAMPUS RE-89 IMPLEMENTATION REPORTS FY 2011 (July 1, 2010 – June 30, 2011)

**RE-89 IMPLEMENTATION REPORT:** Division of Agriculture and Natural Resources (ANR)

the scienti	scal Year 2011, how m fic review committee t dustry sponsor? Non	o be establishe			
sponsor? <sup>1</sup>	how many did the Cha None how many were funde			· . <sup>-</sup>	tobacco industry
	rch proposals identifie w/approval requireme				
Title	Description or Abstract	Proposed tobacco industry sponsor	Was this proposal approved by the Chancellor under RE-89? (and date of Chancellor's	Was this proposal funded by a tobacco industry	If yes – How much funding did this proposa receive from a tobacco industry
			determination)	sponsor?	sponsor (and date of award)?
<u>A.</u>		۰.			
<b>B.</b>					
<b>C.</b>					
your campus ad	sponsor, and award amo ctive as of close of FY 2 y awards captured in se before 09/20/2007), and	2010-11 (June 3) ctions 1 and 2 a	0, 2011). bove, but also active av ubject to RE-89's new r	vards begun pri eview/approval	or to passage of requirements.
Title	Tobacco industry sponsor	Amount	Subject to RE-89 projects begun bef RE-89 review/app	ore 09/20/2007	were not subject to
A				· ····································	· · · · · · · · · · · · · · · · · · ·
В.					
С.					
m	2 Jook	Lynn E. Dee	tz fice of Contracts & Gr		

<sup>2</sup> We will request that detailed information about any unfunded research proposals be kept internal, following usual University practice and applicable rules regarding public record.

**RE-89 Campus Reporting Template** 

**RE-89 IMPLEMENTATION REPORT:** University of California, Berkeley

	npus: Berkeley					
1.	the scientif		e to be establishe	oroposals (if any) at yo d under RE-89, for re		
-	sponsor? <sup>1</sup>	NOT APPLICABI		ve for submission by th industry sponsor? NC	44 	
2.				us section above (i.e., a 0-11), please provide t		
Titl	e	Description or Abstract	Proposed tobacco industry sponsor	Was this proposal approved by the Chancellor under RE-89? (and date of Chancellor's determination)	Was this proposal funded by a tobacco industry sponsor?	If yes – How much funding did this proposal receive from a tobacco industry sponsor (and date of award)?
<b>A.</b>				-		
<b>B.</b>						
С.						
3.	your campus act	tive as of close of FY awards captured in	7 2010-11 (June 3 sections 1 and 2 a	own research awards fr 0, 2011). above, but also active av ubject to RE-89's new r	wards begun pri	or to passage of
Titl	e	Tobacco industry sponsor		Subject to RE-89 projects begun bef RE-89 review/app	ore 09/20/2007	were not subject to
<b>A.</b>	None				<b></b>	
<b>B.</b>						
<b>C.</b>						

tobacco industry sponsor is to have been sent to the researcher, the UC President, and the Regents, under RE-89.

 $^{2}$  We will request that detailed information about any unfunded research proposals be kept internal, following usual University practice and applicable rules regarding public record.

## **RE-89 IMPLEMENTATION REPORT:** University of California, Davis

1.	the scientif tobacco inc	ic review co lustry spon ow many d	ommittee t sor? <u>Non</u>	o be establishe e	roposals (if any) at yo d under RE-89, for re e for submission by th	view prior to s	ubmission to a
	C. Of those, h	ow many w	ere fundea	l by a tobacco	industry sponsor? <u>N</u>	A	
2.					us section above (i.e., a )-11), please provide th		
Tit	le	Descriptio Abstract	)n or	Proposed tobacco industry sponsor	Was this proposal approved by the Chancellor under RE-89? (and date of Chancellor's determination)	Was this proposal funded by a tobacco industry sponsor?	If yes – How much funding did this proposa receive from a tobacco industry sponsor (and date of award)?
<b>A.</b> <sup>-</sup>		N/A		<u>N/A</u>	<u>N/A</u>	N/A	<u>N/A</u>
<b>B.</b>		N/A		<u>N/A</u>	N/A	N/A	N/A
3. Tit	your campus ac Include not only RE-89 (begun b	tive as of clo v awards cap efore 09/20/	ose of FY 2 otured in se	010-11 (June 3 ctions 1 and 2 a	own research awards fr 0, 2011). No awards y bove, but also active av ubject to RE-89's new r Subject to RE-89 projects begun before	were active on vards begun pri eview/approval review? (Yes/N	June 30, 2011 or to passage of requirements. No). Continuing
	N/A	5	sponsor		RE-89 review/app		
	IN/AL	1	N/A	N/A	N/A		
<b>4.</b>	N/A		N/A	N/A	N/A		

<sup>1</sup> A copy of the Chancellor's written determination approving or disapproving submission of a proposal to seek funding from a tobacco industry sponsor is to have been sent to the researcher, the UC President, and the Regents, under RE-89.

<sup>2</sup> We will request that detailed information about any unfunded research proposals be kept internal, following usual University practice and applicable rules regarding public record.

**RE-89 Campus Reporting Template** 

### **RE-89 IMPLEMENTATION REPORT:** University of California, Irvine

Campus: UC Irv	vine					
the scier tobacco B. Of those sponsor	ntific review c industry spor c, how many c ? <sup>1</sup>	ommittee to nsor? <u>None</u> lid the Chan	be established	oposals (if any) at yo under RE-89, for re for submission by th ndustry sponsor?	view prior to s	ubmission to a
RE-89's revi	ew/approval	requirement	s for FY 2010-	s section above (i.e., a 11), please provide t	he following ir	Iformation <sup>2</sup>
Title	Descripti Abstract	on or	Proposed tobacco industry sponsor	Was this proposal approved by the Chancellor under RE-89? (and date of Chancellor's determination)	Was this proposal funded by a tobacco industry sponsor?	If yes – How much funding did this proposal receive from a tobacco industry sponsor (and date of award)?
A		14				
B.				2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2		
С.			· · · · · · · · · · · · · · · · · · ·			
your campus Include not o	active as of cl nly awards ca	ose of FY 20 ptured in sect	10-11 (June 30 tions 1 and 2 ab	ove, but also active av	vards begun pri	or to passage of
Title		Tobacco industry	Amount		review? (Yes/I fore 09/20/2007	No). Continuing were not subject to
Title		Tobacco industry sponsor	Amount	Subject to RE-89 projects begun bef RE-89 review/app	review? (Yes/I fore 09/20/2007	No). Continuing were not subject to
Title A. A Novel		Tobacco industry sponsor Phillip		Subject to RE-89 projects begun bef RE-89 review/app	review? (Yes/I fore 09/20/2007	No). Continuing were not subject to
Title A. A Novel Nonselenocysten	ic	Tobacco industry sponsor Phillip Morris	Amount	Subject to RE-89 projects begun bef RE-89 review/app	review? (Yes/I fore 09/20/2007	No). Continuing were not subject to
Title A. A Novel Nonselenocysten Phospholipid Glut	ic tathione	Tobacco industry sponsor Phillip	Amount	Subject to RE-89 projects begun bef RE-89 review/app	review? (Yes/I fore 09/20/2007	No). Continuing were not subject to
Title A. A Novel Nonselenocysten	ic tathione ating	Tobacco industry sponsor Phillip Morris	Amount	Subject to RE-89 projects begun bef RE-89 review/app	review? (Yes/I fore 09/20/2007	No). Continuing were not subject to
<b>Title</b> <b>A.</b> A Novel Nonselenocysten Phospholipid Glut Peroxidase Media	ic tathione ating serves as a	Tobacco industry sponsor Phillip Morris	Amount	Subject to RE-89 projects begun bef RE-89 review/app	review? (Yes/I fore 09/20/2007	No). Continuing were not subject to
<b>Title</b> <b>A.</b> A Novel Nonselenocysten Phospholipid Glut Peroxidase Media Oxidative Stress S	ic tathione ating serves as a	Tobacco industry sponsor Phillip Morris	Amount	Subject to RE-89 projects begun bef RE-89 review/app	review? (Yes/I fore 09/20/2007	No). Continuing were not subject to
<b>Title</b> <b>A.</b> A Novel Nonselenocysten Phospholipid Glut Peroxidase Media Oxidative Stress S Prognostic Bioma	ic tathione ating serves as a	Tobacco industry sponsor Phillip Morris	Amount	Subject to RE-89 projects begun bef RE-89 review/app	review? (Yes/I fore 09/20/2007	No). Continuing were not subject to
Title A. A Novel Nonselenocysten Phospholipid Glut Peroxidase Media Oxidative Stress S Prognostic Bioma Breast Cancer	ic tathione ating serves as a	Tobacco industry sponsor Phillip Morris	Amount	Subject to RE-89 projects begun bef RE-89 review/app	review? (Yes/I fore 09/20/2007	No). Continuing were not subject to

Page 1 of 2

### **RE-89 IMPLEMENTATION REPORT:** University of California, Los Angeles

Campus: Los Angeles

1.

- A. During Fiscal Year 2011, how many research proposals (if any) at your campus were submitted to the scientific review committee to be established under RE-89, for review prior to submission to a tobacco industry sponsor? None
- B. Of those, how many did the Chancellor approve for submission by the campus to a tobacco industry sponsor?<sup>1</sup> N/A

C. Of those, how many were funded by a tobacco industry sponsor? N/A

2. For any research proposals identified in the previous section above (i.e., any research proposals subject to RE-89's review/approval requirements for FY 2010-11), please provide the following information<sup>2</sup>

Title	Description or Abstract	Proposed tobacco industry sponsor	Was this proposal approved by the Chancellor under RE-89? (and date of Chancellor's determination)	Was this proposal funded by a tobacco industry sponsor?	If yes – How much funding did this proposal receive from a tobacco industry sponsor (and date of award)?
A.			· · · · · · · · · · · · · · · · · · ·		
<b>B.</b>					
<b>C</b> .					, .

3. Provide titles, sponsor, and award amounts for all known research awards from tobacco industry sponsors at your campus active as of close of FY 2010-11 (June 30, 2011).

Include not only awards captured in sections 1 and 2 above, but also active awards begun prior to passage of RE-89 (begun before 09/20/2007), and therefore not subject to RE-89's new review/approval requirements.

Title	Tobacco industry sponsor	Amount	Subject to RE-89 review? (Yes/No). Continuing projects begun before 09/20/2007 were not subject to RE-89 review/approval requirements
A. UCLA Adolescent Smoking Cessation Center	Philip Morris USA	\$2,000,000. Project funded through 12/30/2012.	No – funding was reviewed in 2010 as reported last year.
<b>B.</b>			
С.			

<sup>&</sup>lt;sup>1</sup> A copy of the Chancellor's written determination approving or disapproving submission of a proposal to seek funding from a tobacco industry sponsor is to have been sent to the researcher, the UC President, and the Regents, under RE-89.

RE-89 Campus Reporting Template

<sup>&</sup>lt;sup>2</sup> We will request that detailed information about any unfunded research proposals be kept internal, following usual University practice and applicable rules regarding public record.

 $^{2}$  We will request that detailed information about any unfunded research proposals be kept internal, following usual University practice and applicable rules regarding public record.

<sup>&</sup>lt;sup>1</sup> A copy of the Chancellor's written determination approving or disapproving submission of a proposal to seek funding from a tobacco industry sponsor is to have been sent to the researcher, the UC President, and the Regents, under RE-89.

## **RE-89 IMPLEMENTATION REPORT:** University of California, Merced

the sc	ng Fiscal Year 2011, how r cientific review committee co industry sponsor?				
spons NA C. Of th 2. For any r	ose, how many did the Ch sor? <sup>1</sup> ose, how many were fundo research proposals identific eview/approval requireme	ed by a tobacco	industry sponsor? NA	A any research p	roposals subject to
Title	<b>Description</b> or	Proposed	Was this proposal	Was this	If yes – How
	Abstract	tobacco industry sponsor	approved by the Chancellor under RE-89? (and date of Chancellor's determination)	proposal funded by a tobacco industry sponsor?	receive from a tobacco industry sponsor (and
A.		industry	Chancellor under RE-89? (and date of Chancellor's	funded by a tobacco industry	did this proposa receive from a tobacco industr sponsor (and
<b>B.</b>		industry	Chancellor under RE-89? (and date of Chancellor's	funded by a tobacco industry	did this proposa receive from a tobacco industry
		industry	Chancellor under RE-89? (and date of Chancellor's	funded by a tobacco industry	did this proposa receive from a tobacco industry sponsor (and

В. С. ;

<sup>&</sup>lt;sup>1</sup> A copy of the Chancellor's written determination approving or disapproving submission of a proposal to seek funding from a tobacco industry sponsor is to have been sent to the researcher, the UC President, and the Regents, under RE-89.

<sup>&</sup>lt;sup>2</sup> We will request that detailed information about any unfunded research proposals be kept internal, following usual University practice and applicable rules regarding public record.

# **RE-89 IMPLEMENTATION REPORT:** University of California, Riverside

**Campus: RIVERSIDE** 1. A. During Fiscal Year 2011, how many research proposals (if any) at your campus were submitted to the scientific review committee to be established under RE-89, for review prior to submission to a tobacco industry sponsor? NONE B. Of those, how many did the Chancellor approve for submission by the campus to a tobacco industry sponsor?<sup>1</sup> N/A C. Of those, how many were funded by a tobacco industry sponsor? N/A 2. For any research proposals identified in the previous section above (i.e., any research proposals subject to RE-89's review/approval requirements for FY 2010-11), please provide the following information<sup>2</sup> N/ATitle **Description** or Was this proposal Was this If yes - How Proposed Abstract tobacco approved by the proposal much funding Chancellor under did this proposal industry funded by a **RE-89?** (and date tobacco receive from a sponsor of Chancellor's industry tobacco industry determination) sponsor? sponsor (and date of award)? A. B. C. . . . 3. Provide titles, sponsor, and award amounts for all known research awards from tobacco industry sponsors at your campus active as of close of FY 2010-11 (June 30, 2011). NONE Include not only awards captured in sections 1 and 2 above, but also active awards begun prior to passage of RE-89 (begun before 09/20/2007), and therefore not subject to RE-89's new review/approval requirements. Title Subject to RE-89 review? (Yes/No). Continuing Tobacco Amount industry projects begun before 09/20/2007 were not subject to sponsor RE-89 review/approval requirements A. B. C.

<sup>&</sup>lt;sup>1</sup> A copy of the Chancellor's written determination approving or disapproving submission of a proposal to seek funding from a tobacco industry sponsor is to have been sent to the researcher, the UC President, and the Regents, under RE-89.

<sup>&</sup>lt;sup>2</sup> We will request that detailed information about any unfunded research proposals be kept internal, following usual University practice and applicable rules regarding public record.

## **RE-89 IMPLEMENTATION REPORT:** University of California, San Diego

Campus: University of California San Diego

#### Prepared by Linda Collins, AVC Office of Contract & Grant Administration

- 1.
- A. During Fiscal Year 2011, how many research proposals (if any) at your campus were submitted to the scientific review committee to be established under RE-89, for review prior to submission to a tobacco industry sponsor? 0
- **B.** Of those, how many did the Chancellor approve for submission by the campus to a tobacco industry sponsor?<sup>1</sup> NA
- C. Of those, how many were funded by a tobacco industry sponsor? NA
- 2. For any research proposals identified in the previous section above (i.e., any research proposals subject to RE-89's review/approval requirements for FY 2010-11), please provide the following information<sup>2</sup>

Title	Description or Abstract	Proposed tobacco industry sponsor	Was this proposal approved by the Chancellor under RE-89? (and date of Chancellor's determination)	Was this proposal funded by a tobacco industry sponsor?	If yes – How much funding did this proposal receive from a tobacco industry sponsor (and date of award)?
А.	None to Report during FY 2011				
<b>B.</b>					
C.					

3. Provide titles, sponsor, and award amounts for all known research awards from tobacco industry sponsors at your campus active as of close of FY 2010-11 (June 30, 2011).

Include not only awards captured in sections 1 and 2 above, but also active awards begun prior to passage of RE-89 (begun before 09/20/2007), and therefore not subject to RE-89's new review/approval requirements.

Title	Tobacco industry sponsor	Amount	Subject to RE-89 review? (Yes/No). Continuing projects begun before 09/20/2007 were not subject to RE-89 review/approval requirements
A.	None to Report during FY 2011		
<b>B.</b>			· · · · · · · · · · · · · · · · · · ·
С.			

<sup>&</sup>lt;sup>1</sup> A copy of the Chancellor's written determination approving or disapproving submission of a proposal to seek funding from a tobacco industry sponsor is to have been sent to the researcher, the UC President, and the Regents, under RE-89.

<sup>&</sup>lt;sup>2</sup> We will request that detailed information about any unfunded research proposals be kept internal, following usual University practice and applicable rules regarding public record.

## **RE-89 IMPLEMENTATION REPORT:** University of California, San Francisco

sponso C. Of thos 2. For any res	se, how many did the Ch r? <sup>1</sup> 0 se, how many were fund search proposals identifiview/approval requirem Description or Abstract	ed by a tobacco ied in the previo	industry sponsor? 0 us section above (i.e., ;	any research p	roposals subject to formation <sup>2</sup>
C. Of thos 2. For any res RE-89's rev	se, how many were fund search proposals identifi view/approval requirem Description or	ied in the previo ents for FY 2010 Proposed	us section above (i.e., a 0-11), please provide t Was this proposal	he following in Was this	formation <sup>2</sup>
2. For any res RE-89's rev	search proposals identifi view/approval requirem Description or	ied in the previo ents for FY 2010 Proposed	us section above (i.e., a 0-11), please provide t Was this proposal	he following in Was this	formation <sup>2</sup>
RE-89's rev	view/approval requirem	ents for FY 2010	0-11), please provide the was this proposal	he following in Was this	formation <sup>2</sup>
RE-89's rev	view/approval requirem	ents for FY 2010	0-11), please provide the was this proposal	he following in Was this	formation <sup>2</sup>
	Description or	Proposed	Was this proposal	Was this	If yes – How
Title					
		industry sponsor	Chancellor under RE-89? (and date of Chancellor's determination)	funded by a tobacco industry sponsor?	much funding did this proposa receive from a tobacco industr sponsor (and date of award)?
<b>A.</b>					
<b>B.</b>					
С.					
your campu Include not	es, sponsor, and award ar is active as of close of FY only awards captured in s un before 09/20/2007), ar Tobacco	2010-11 (June 3 sections 1 and 2 a	0, 2011). above, but also active av	wards begun pri review/approval	or to passage of requirements.

•	sponsor	RE-89 review/approval requirements
A		
<b>B.</b>		
С.		

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<sup>&</sup>lt;sup>1</sup> A copy of the Chancellor's written determination approving or disapproving submission of a proposal to seek funding from a tobacco industry sponsor is to have been sent to the researcher, the UC President, and the Regents, under RE-89.

<sup>&</sup>lt;sup>2</sup> We will request that detailed information about any unfunded research proposals be kept internal, following usual University practice and applicable rules regarding public record.

# **RE-89 IMPLEMENTATION REPORT:** University of California, Santa Barbara

Campus RE-89 Implementation for Fiscal Year 2011 (July 1, 2010 - June 30, 2011)

the sc			proposals (if any) at yo ed under RE-89, for re		
In FY	2011 UCSB submitted	l no proposals requir	ing review under RE-89	).	
spons	or? <sup>1</sup> N/A		ve for submission by th industry sponsor? N/	-	tobacco industry
2. For any re	esearch proposals ide	ntified in the previo	ous section above (i.e., 0-11), please provide t	any research p	
Title	Description or Abstract	Proposed tobacco industry sponsor	Was this proposal approved by the Chancellor under RE-89? (and date of Chancellor's determination)	Was this proposal funded by a tobacco industry sponsor?	If yes – How much funding did this proposa receive from a tobacco industry sponsor (and date of award)?
<b>A.</b>					
B. C.					
		rd amounts for all kr f FY 2010-11 (June 3	nown research awards fr 30, 2011).	om tobacco inc	lustry sponsors at
your camp Include no	t only awards captured		above, but also active av subject to RE-89's new 1		

Title	Tobacco industry sponsor	Amount	Subject to RE-89 review? (Yes/No). Continuing projects begun before 09/20/2007 were not subject to RE-89 review/approval requirements
Α.			
<b>B.</b>			
С.			
		<u> </u>	

<sup>&</sup>lt;sup>1</sup> A copy of the Chancellor's written determination approving or disapproving submission of a proposal to seek funding from a tobacco industry sponsor is to have been sent to the researcher, the UC President, and the Regents, under RE-89.

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 $<sup>^{2}</sup>$  We will request that detailed information about any unfunded research proposals be kept internal, following usual University practice and applicable rules regarding public record.

## **RE-89 IMPLEMENTATION REPORT:** University of California, Santa Cruz

#### Campus: Santa Cruz

#### 1.

- A. During Fiscal Year 2011, how many research proposals (if any) at your campus were submitted to the scientific review committee to be established under RE-89, for review prior to submission to a tobacco industry sponsor? <u>None.</u>
- B. Of those, how many did the Chancellor approve for submission by the campus to a tobacco industry sponsor?<sup>1</sup> N/A

C. Of those, how many were funded by a tobacco industry sponsor? <u>N/A</u>

### 2. For any research proposals identified in the previous section above (i.e., any research proposals subject to RE-89's review/approval requirements for FY 2010-11), please provide the following information<sup>2</sup>

Title	Description or Abstract	Proposed tobacco industry sponsor	Was this proposal approved by the Chancellor under RE-89? (and date of Chancellor's determination)	Was this proposal funded by a tobacco industry sponsor?	If yes – How much funding did this proposal receive from a tobacco industry sponsor (and date of award)?
А.					
<b>B</b> .					
С.					

3. Provide titles, sponsor, and award amounts for all known research awards from tobacco industry sponsors at your campus active as of close of FY 2010-11 (June 30, 2011).

Include not only awards captured in sections 1 and 2 above, but also active awards begun prior to passage of RE-89 (begun before 09/20/2007), and therefore not subject to RE-89's new review/approval requirements.

Title	Tobacco industry sponsor	Amount	Subject to RE-89 review? (Yes/No). Continuing projects begun before 09/20/2007 were not subject to RE-89 review/approval requirements
А.			
B			
C.			

<sup>&</sup>lt;sup>1</sup> A copy of the Chancellor's written determination approving or disapproving submission of a proposal to seek funding from a tobacco industry sponsor is to have been sent to the researcher, the UC President, and the Regents, under RE-89.

<sup>&</sup>lt;sup>2</sup> We will request that detailed information about any unfunded research proposals be kept internal, following usual University practice and applicable rules regarding public record.