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October 7, 2011

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

I am pleased to provide the enclosed report on the implementation of RE-89, the Regental resolution passed on September 20, 2007, requiring special review, approval, and reporting procedures for proposals to obtain research funding from the tobacco industry.

Under RE-89, the President is to prepare and submit to The Regents an annual report summarizing the number of proposals submitted to the scientific review committee established by each campus pursuant to RE-89, the number approved, and the number funded, along with a description or abstract of each proposal. To that end, my office asked each campus to provide this data, along with additional related information. The campus responses are summarized in the enclosed report and are included in the Appendix. Through the end of FY 2011, no campus reported having had any new research proposals or new research awards from a tobacco industry sponsor.

Please do not hesitate to let me know if you have any questions or concerns about the enclosed report.

With best wishes, I am,

Sincerely, yours,

A handwritten signature in black ink, appearing to read "M.G. Yudof", written over a white background.

Mark G. Yudof
President

Enclosures

cc: Chancellors

**PRESIDENT'S REPORT TO THE UNIVERSITY OF CALIFORNIA BOARD OF
REGENTS: FY 2011 REPORT ON PROPOSALS SEEKING RESEARCH
FUNDING FROM THE TOBACCO INDUSTRY**

BACKGROUND

On September 20, 2007, the Board of Regents approved RE-89, a resolution put forward by the Committee on Finance, requiring Chancellors to adopt special review, approval, and reporting procedures for proposals to obtain research funding from the tobacco industry. The adopted resolution replaced an earlier version of RE-89 (which would have restricted University acceptance of such funding).

The full text of RE-89, as adopted, can be found starting on Page 37 of the September 20, 2007 approved Regents Minutes found at:
<http://www.universityofcalifornia.edu/regents/minutes/2007/board907.pdf>.

RE_89 directs each Chancellor to establish a policy requiring that prior to the submission of any proposal to seek research funding from the tobacco industry, the research proposal must be reviewed by a scientific review committee designated by the Chancellor for this purpose, and that the proposal must be approved by the Chancellor prior to submission. RE-89 requires that The Regents shall receive timely notice of such grants and a description of the research to be undertaken, and that for each proposal reviewed, The Regents shall receive a copy of the scientific review committee's report and a copy of the Chancellor's determination letter (stating whether the proposal is to be approved for submission, along with the rationale for the determination).

Under RE-89, The Regents also directed the President to prepare and submit to The Regents "an annual report summarizing the number of proposals submitted to the scientific review committee, the number approved, and the number funded, along with a description or abstract of each proposal." The reporting requirement is to be kept in place for at least five years, after which the President is to consult with The Regents to evaluate whether the reporting requirement should be continued.

In September, 2008, the President submitted the first annual report to the Regents, including not only specific data about proposals seeking research funding from the tobacco industry, but also information about systemwide advice that had been distributed to help each campus implement RE-89 and information about the steps each campus had taken to implement the new review and approval procedures. As reported FY 2009, all ten University of California campuses adopted policies and/or procedures to implement RE-89.

Provided below and in the attached Appendix is data regarding proposals seeking research funding from the tobacco industry as well as research funding received from the tobacco industry for Fiscal Year 2011 (July 1, 2010 through June 30, 2011).

CAMPUS DATA

For the reporting period (July 1, 2010 through June 30, 2011), no campus reported having a research project that underwent a special scientific review as established under RE-89.

Two campuses (Irvine and Los Angeles) reported a total of two ongoing tobacco-industry funded research projects that were initiated prior to RE-89's passage.

In all cases, these projects were originally funded by Philip Morris USA. The two projects amount to \$2,883,471 in funding.

The individual campus RE-89 implementation reports are attached in the **Appendix** to this report.

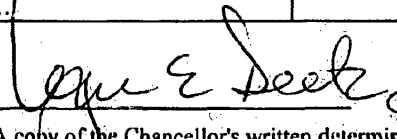
APPENDIX

CAMPUS RE-89 IMPLEMENTATION REPORTS FY 2011 (July 1, 2010 – June 30, 2011)

**RE-89 IMPLEMENTATION REPORT:
Division of Agriculture and Natural
Resources (ANR)**

Campus RE-89 Implementation for Fiscal Year 2011 (July 1, 2010 - June 30, 2011)

Campus: Division of Agriculture and Natural Resources					
<p>1.</p> <p>A. During Fiscal Year 2011, how many research proposals (if any) at your campus were submitted to the scientific review committee to be established under RE-89, for review prior to submission to a tobacco industry sponsor? None</p> <p>B. Of those, how many did the Chancellor approve for submission by the campus to a tobacco industry sponsor?¹ None</p> <p>C. Of those, how many were funded by a tobacco industry sponsor? None</p>					
<p>2. For any research proposals identified in the previous section above (i.e., any research proposals subject to RE-89's review/approval requirements for FY 2010-11), please provide the following information²</p>					
Title	Description or Abstract	Proposed tobacco industry sponsor	Was this proposal approved by the Chancellor under RE-89? (and date of Chancellor's determination)	Was this proposal funded by a tobacco industry sponsor?	If yes – How much funding did this proposal receive from a tobacco industry sponsor (and date of award)?
A.					
B.					
C.					
....					
<p>3. Provide titles, sponsor, and award amounts for all known research awards from tobacco industry sponsors at your campus active as of close of FY 2010-11 (June 30, 2011).</p> <p>Include not only awards captured in sections 1 and 2 above, but also active awards begun prior to passage of RE-89 (begun before 09/20/2007), and therefore not subject to RE-89's new review/approval requirements.</p>					
Title	Tobacco industry sponsor	Amount	Subject to RE-89 review? (Yes/No). <i>Continuing projects begun before 09/20/2007 were not subject to RE-89 review/approval requirements</i>		
A.					
B.					
C.					
....					

 **Lynn E. Deetz**
 Director, Office of Contracts & Grants
 9/22/11

¹ A copy of the Chancellor's written determination approving or disapproving submission of a proposal to seek funding from a tobacco industry sponsor is to have been sent to the researcher, the UC President, and the Regents, under RE-89.

² We will request that detailed information about any unfunded research proposals be kept internal, following usual University practice and applicable rules regarding public record.

**RE-89 IMPLEMENTATION REPORT:
University of California, Berkeley**

Campus RE-89 Implementation for Fiscal Year 2011 (July 1, 2010 - June 30, 2011)

Campus: Berkeley					
<p>1.</p> <p>A. During Fiscal Year 2011, how many research proposals (if any) at your campus were submitted to the scientific review committee to be established under RE-89, for review prior to submission to a tobacco industry sponsor? NONE</p> <p>B. Of those, how many did the Chancellor approve for submission by the campus to a tobacco industry sponsor?¹ NOT APPLICABLE</p> <p>C. Of those, how many were funded by a tobacco industry sponsor? NOT APPLICABLE</p>					
<p>2. For any research proposals identified in the previous section above (i.e., any research proposals subject to RE-89's review/approval requirements for FY 2010-11), please provide the following information²</p>					
Title	Description or Abstract	Proposed tobacco industry sponsor	Was this proposal approved by the Chancellor under RE-89? (and date of Chancellor's determination)	Was this proposal funded by a tobacco industry sponsor?	If yes – How much funding did this proposal receive from a tobacco industry sponsor (and date of award)?
A.					
B.					
C.					
....					
<p>3. Provide titles, sponsor, and award amounts for all known research awards from tobacco industry sponsors at your campus active as of close of FY 2010-11 (June 30, 2011).</p> <p>Include not only awards captured in sections 1 and 2 above, but also active awards begun prior to passage of RE-89 (begun before 09/20/2007), and therefore not subject to RE-89's new review/approval requirements.</p>					
Title	Tobacco industry sponsor	Amount	Subject to RE-89 review? (Yes/No). <i>Continuing projects begun before 09/20/2007 were not subject to RE-89 review/approval requirements</i>		
A. None					
B.					
C.					
....					

¹ A copy of the Chancellor's written determination approving or disapproving submission of a proposal to seek funding from a tobacco industry sponsor is to have been sent to the researcher, the UC President, and the Regents, under RE-89.

² We will request that detailed information about any unfunded research proposals be kept internal, following usual University practice and applicable rules regarding public record.

**RE-89 IMPLEMENTATION REPORT:
University of California, Davis**

Campus RE-89 Implementation for Fiscal Year 2011 (July 1, 2010 - June 30, 2011)

Campus: <u>Davis</u>					
<p>1. A. During Fiscal Year 2011, how many research proposals (if any) at your campus were submitted to the scientific review committee to be established under RE-89, for review prior to submission to a tobacco industry sponsor? <u>None</u></p> <p>B. Of those, how many did the Chancellor approve for submission by the campus to a tobacco industry sponsor?¹ <u>N/A</u></p> <p>C. Of those, how many were funded by a tobacco industry sponsor? <u>N/A</u></p>					
<p>2. For any research proposals identified in the previous section above (i.e., any research proposals subject to RE-89's review/approval requirements for FY 2010-11), please provide the following information²</p>					
Title	Description or Abstract	Proposed tobacco industry sponsor	Was this proposal approved by the Chancellor under RE-89? (and date of Chancellor's determination)	Was this proposal funded by a tobacco industry sponsor?	If yes – How much funding did this proposal receive from a tobacco industry sponsor (and date of award)?
A.	<u>N/A</u>	<u>N/A</u>	<u>N/A</u>	<u>N/A</u>	<u>N/A</u>
B.	<u>N/A</u>	<u>N/A</u>	<u>N/A</u>	<u>N/A</u>	<u>N/A</u>
<p>3. Provide titles, sponsor, and award amounts for all known research awards from tobacco industry sponsors at your campus active as of close of FY 2010-11 (June 30, 2011). <u>No awards were active on June 30, 2011</u></p> <p>Include not only awards captured in sections 1 and 2 above, but also active awards begun prior to passage of RE-89 (began before 09/20/2007), and therefore not subject to RE-89's new review/approval requirements.</p>					
Title	Tobacco industry sponsor	Amount	Subject to RE-89 review? (Yes/No). <i>Continuing projects begun before 09/20/2007 were not subject to RE-89 review/approval requirements</i>		
A. <u>N/A</u>	<u>N/A</u>	<u>N/A</u>	<u>N/A</u>		
B. <u>N/A</u>	<u>N/A</u>	<u>N/A</u>	<u>N/A</u>		

¹ A copy of the Chancellor's written determination approving or disapproving submission of a proposal to seek funding from a tobacco industry sponsor is to have been sent to the researcher, the UC President, and the Regents, under RE-89.

² We will request that detailed information about any unfunded research proposals be kept internal, following usual University practice and applicable rules regarding public record.

**RE-89 IMPLEMENTATION REPORT:
University of California, Irvine**

Campus RE-89 Implementation for Fiscal Year 2011 (July 1, 2010 - June 30, 2011)

Campus: UC Irvine					
<p>1.</p> <p>A. During Fiscal Year 2011, how many research proposals (if any) at your campus were submitted to the scientific review committee to be established under RE-89, for review prior to submission to a tobacco industry sponsor? <u>None</u></p> <p>B. Of those, how many did the Chancellor approve for submission by the campus to a tobacco industry sponsor?¹</p> <p>C. Of those, how many were funded by a tobacco industry sponsor?</p>					
<p>2. For any research proposals identified in the previous section above (i.e., any research proposals subject to RE-89's review/approval requirements for FY 2010-11), please provide the following information²</p>					
Title	Description or Abstract	Proposed tobacco industry sponsor	Was this proposal approved by the Chancellor under RE-89? (and date of Chancellor's determination)	Was this proposal funded by a tobacco industry sponsor?	If yes – How much funding did this proposal receive from a tobacco industry sponsor (and date of award)?
A.					
B.					
C.					
....					
<p>3. Provide titles, sponsor, and award amounts for all known research awards from tobacco industry sponsors at your campus active as of close of FY 2010-11 (June 30, 2011).</p> <p>Include not only awards captured in sections 1 and 2 above, but also active awards begun prior to passage of RE-89 (began before 09/20/2007), and therefore not subject to RE-89's new review/approval requirements.</p>					
Title	Tobacco industry sponsor	Amount	Subject to RE-89 review? (Yes/No). <i>Continuing projects begun before 09/20/2007 were not subject to RE-89 review/approval requirements</i>		
A. A Novel Nonselenocystenic Phospholipid Glutathione Peroxidase Mediating Oxidative Stress Serves as a Prognostic Biomarker for Breast Cancer	Phillip Morris USA	\$883,471.00	No		
B.					
C.					
....					

**RE-89 IMPLEMENTATION REPORT:
University of California, Los Angeles**

Campus RE-89 Implementation for Fiscal Year 2011 (July 1, 2010 - June 30, 2011)

Campus: Los Angeles					
<p>1.</p> <p>A. During Fiscal Year 2011, how many research proposals (if any) at your campus were submitted to the scientific review committee to be established under RE-89, for review prior to submission to a tobacco industry sponsor? None</p> <p>B. Of those, how many did the Chancellor approve for submission by the campus to a tobacco industry sponsor?¹ N/A</p> <p>C. Of those, how many were funded by a tobacco industry sponsor? N/A</p>					
<p>2. For any research proposals identified in the previous section above (i.e., any research proposals subject to RE-89's review/approval requirements for FY 2010-11), please provide the following information²</p>					
Title	Description or Abstract	Proposed tobacco industry sponsor	Was this proposal approved by the Chancellor under RE-89? (and date of Chancellor's determination)	Was this proposal funded by a tobacco industry sponsor?	If yes – How much funding did this proposal receive from a tobacco industry sponsor (and date of award)?
A.					
B.					
C.					
<p>3. Provide titles, sponsor, and award amounts for all known research awards from tobacco industry sponsors at your campus active as of close of FY 2010-11 (June 30, 2011).</p> <p>Include not only awards captured in sections 1 and 2 above, but also active awards begun prior to passage of RE-89 (begun before 09/20/2007), and therefore not subject to RE-89's new review/approval requirements.</p>					
Title	Tobacco industry sponsor	Amount	Subject to RE-89 review? (Yes/No). <i>Continuing projects begun before 09/20/2007 were not subject to RE-89 review/approval requirements</i>		
A. UCLA Adolescent Smoking Cessation Center	Philip Morris USA	\$2,000,000. Project funded through 12/30/2012.	No – funding was reviewed in 2010 as reported last year.		
B.					
C.					

¹ A copy of the Chancellor's written determination approving or disapproving submission of a proposal to seek funding from a tobacco industry sponsor is to have been sent to the researcher, the UC President, and the Regents, under RE-89.

² We will request that detailed information about any unfunded research proposals be kept internal, following usual University practice and applicable rules regarding public record.

¹ A copy of the Chancellor's written determination approving or disapproving submission of a proposal to seek funding from a tobacco industry sponsor is to have been sent to the researcher, the UC President, and the Regents, under RE-89.

² We will request that detailed information about any unfunded research proposals be kept internal, following usual University practice and applicable rules regarding public record.

**RE-89 IMPLEMENTATION REPORT:
University of California, Merced**

Campus RE-89 Implementation for Fiscal Year 2011 (July 1, 2010 - June 30, 2011)

Campus: Merced					
<p>1. A. During Fiscal Year 2011, how many research proposals (if any) at your campus were submitted to the scientific review committee to be established under RE-89, for review prior to submission to a tobacco industry sponsor? None</p> <p>B. Of those, how many did the Chancellor approve for submission by the campus to a tobacco industry sponsor?¹ NA</p> <p>C. Of those, how many were funded by a tobacco industry sponsor? NA</p>					
<p>2. For any research proposals identified in the previous section above (i.e., any research proposals subject to RE-89's review/approval requirements for FY 2010-11), please provide the following information²</p>					
Title	Description or Abstract	Proposed tobacco industry sponsor	Was this proposal approved by the Chancellor under RE-89? (and date of Chancellor's determination)	Was this proposal funded by a tobacco industry sponsor?	If yes – How much funding did this proposal receive from a tobacco industry sponsor (and date of award)?
A.					
B.					
C.					
....					
<p>3. Provide titles, sponsor, and award amounts for all known research awards from tobacco industry sponsors at your campus active as of close of FY 2010-11 (June 30, 2011).</p> <p>Include not only awards captured in sections 1 and 2 above, but also active awards begun prior to passage of RE-89 (begun before 09/20/2007), and therefore not subject to RE-89's new review/approval requirements.</p>					
Title	Tobacco industry sponsor	Amount	Subject to RE-89 review? (Yes/No). <i>Continuing projects begun before 09/20/2007 were not subject to RE-89 review/approval requirements</i>		
A.					
B.					
C.					
....					

¹ A copy of the Chancellor's written determination approving or disapproving submission of a proposal to seek funding from a tobacco industry sponsor is to have been sent to the researcher, the UC President, and the Regents, under RE-89.

² We will request that detailed information about any unfunded research proposals be kept internal, following usual University practice and applicable rules regarding public record.

**RE-89 IMPLEMENTATION REPORT:
University of California, Riverside**

Campus RE-89 Implementation for Fiscal Year 2011 (July 1, 2010 - June 30, 2011)

Campus: RIVERSIDE					
<p>1.</p> <p>A. During Fiscal Year 2011, how many research proposals (if any) at your campus were submitted to the scientific review committee to be established under RE-89, for review prior to submission to a tobacco industry sponsor? <u>NONE</u></p> <p>B. Of those, how many did the Chancellor approve for submission by the campus to a tobacco industry sponsor?¹ <u>N/A</u></p> <p>C. Of those, how many were funded by a tobacco industry sponsor? <u>N/A</u></p>					
<p>2. For any research proposals identified in the previous section above (i.e., any research proposals subject to RE-89's review/approval requirements for FY 2010-11), please provide the following information² <u>N/A</u></p>					
Title	Description or Abstract	Proposed tobacco industry sponsor	Was this proposal approved by the Chancellor under RE-89? (and date of Chancellor's determination)	Was this proposal funded by a tobacco industry sponsor?	If yes – How much funding did this proposal receive from a tobacco industry sponsor (and date of award)?
A.					
B.					
C.					
....					
<p>3. Provide titles, sponsor, and award amounts for all known research awards from tobacco industry sponsors at your campus active as of close of FY 2010-11 (June 30, 2011). <u>NONE</u></p> <p>Include not only awards captured in sections 1 and 2 above, but also active awards begun prior to passage of RE-89 (begun before 09/20/2007), and therefore not subject to RE-89's new review/approval requirements.</p>					
Title	Tobacco industry sponsor	Amount	Subject to RE-89 review? (Yes/No). <i>Continuing projects begun before 09/20/2007 were not subject to RE-89 review/approval requirements</i>		
A.					
B.					
C.					
....					

¹ A copy of the Chancellor's written determination approving or disapproving submission of a proposal to seek funding from a tobacco industry sponsor is to have been sent to the researcher, the UC President, and the Regents, under RE-89.

² We will request that detailed information about any unfunded research proposals be kept internal, following usual University practice and applicable rules regarding public record.

RE-89 IMPLEMENTATION REPORT:
University of California, San Diego

Campus RE-89 Implementation for Fiscal Year 2011 (July 1, 2010 - June 30, 2011)

Campus: University of California San Diego

Prepared by Linda Collins, AVC Office of Contract & Grant Administration

- 1.
- A. During Fiscal Year 2011, how many research proposals (if any) at your campus were submitted to the scientific review committee to be established under RE-89, for review prior to submission to a tobacco industry sponsor? 0
- B. Of those, how many did the Chancellor approve for submission by the campus to a tobacco industry sponsor?¹ NA
- C. Of those, how many were funded by a tobacco industry sponsor? NA

2. For any research proposals identified in the previous section above (i.e., any research proposals subject to RE-89's review/approval requirements for FY 2010-11), please provide the following information²

Title	Description or Abstract	Proposed tobacco industry sponsor	Was this proposal approved by the Chancellor under RE-89? (and date of Chancellor's determination)	Was this proposal funded by a tobacco industry sponsor?	If yes – How much funding did this proposal receive from a tobacco industry sponsor (and date of award)?
A.	None to Report during FY 2011				
B.					
C.					
....					

3. Provide titles, sponsor, and award amounts for all known research awards from tobacco industry sponsors at your campus active as of close of FY 2010-11 (June 30, 2011).

Include not only awards captured in sections 1 and 2 above, but also active awards begun prior to passage of RE-89 (begun before 09/20/2007), and therefore not subject to RE-89's new review/approval requirements.

Title	Tobacco industry sponsor	Amount	Subject to RE-89 review? (Yes/No). <i>Continuing projects begun before 09/20/2007 were not subject to RE-89 review/approval requirements</i>
A.	None to Report during FY 2011		
B.			
C.			

¹ A copy of the Chancellor's written determination approving or disapproving submission of a proposal to seek funding from a tobacco industry sponsor is to have been sent to the researcher, the UC President, and the Regents, under RE-89.

² We will request that detailed information about any unfunded research proposals be kept internal, following usual University practice and applicable rules regarding public record.

**RE-89 IMPLEMENTATION REPORT:
University of California, San Francisco**

Campus RE-89 Implementation for Fiscal Year 2011 (July 1, 2010 - June 30, 2011)

Campus: San Francisco					
<p>1.</p> <p>A. During Fiscal Year 2011, how many research proposals (if any) at your campus were submitted to the scientific review committee to be established under RE-89, for review prior to submission to a tobacco industry sponsor? 0</p> <p>B. Of those, how many did the Chancellor approve for submission by the campus to a tobacco industry sponsor?¹ 0</p> <p>C. Of those, how many were funded by a tobacco industry sponsor? 0</p>					
<p>2. For any research proposals identified in the previous section above (i.e., any research proposals subject to RE-89's review/approval requirements for FY 2010-11), please provide the following information²</p>					
Title	Description or Abstract	Proposed tobacco industry sponsor	Was this proposal approved by the Chancellor under RE-89? (and date of Chancellor's determination)	Was this proposal funded by a tobacco industry sponsor?	If yes – How much funding did this proposal receive from a tobacco industry sponsor (and date of award)?
A.					
B.					
C.					
....					
<p>3. Provide titles, sponsor, and award amounts for all known research awards from tobacco industry sponsors at your campus active as of close of FY 2010-11 (June 30, 2011).</p> <p>Include not only awards captured in sections 1 and 2 above, but also active awards begun prior to passage of RE-89 (begun before 09/20/2007), and therefore not subject to RE-89's new review/approval requirements.</p>					
Title	Tobacco industry sponsor	Amount	Subject to RE-89 review? (Yes/No). <i>Continuing projects begun before 09/20/2007 were not subject to RE-89 review/approval requirements</i>		
A.					
B.					
C.					
....					

¹ A copy of the Chancellor's written determination approving or disapproving submission of a proposal to seek funding from a tobacco industry sponsor is to have been sent to the researcher, the UC President, and the Regents, under RE-89.

² We will request that detailed information about any unfunded research proposals be kept internal, following usual University practice and applicable rules regarding public record.

**RE-89 IMPLEMENTATION REPORT:
University of California, Santa Barbara**

Campus RE-89 Implementation for Fiscal Year 2011 (July 1, 2010 - June 30, 2011)

Campus: Santa Barbara					
<p>1. A. During Fiscal Year 2011, how many research proposals (if any) at your campus were submitted to the scientific review committee to be established under RE-89, for review prior to submission to a tobacco industry sponsor?</p> <p>In FY 2011 UCSB submitted no proposals requiring review under RE-89.</p> <p>B. Of those, how many did the Chancellor approve for submission by the campus to a tobacco industry sponsor?¹ N/A</p> <p>C. Of those, how many were funded by a tobacco industry sponsor? N/A</p>					
<p>2. For any research proposals identified in the previous section above (i.e., any research proposals subject to RE-89's review/approval requirements for FY 2010-11), please provide the following information²</p>					
Title	Description or Abstract	Proposed tobacco industry sponsor	Was this proposal approved by the Chancellor under RE-89? (and date of Chancellor's determination)	Was this proposal funded by a tobacco industry sponsor?	If yes – How much funding did this proposal receive from a tobacco industry sponsor (and date of award)?
A.					
B.					
C.					
....					
<p>3. Provide titles, sponsor, and award amounts for all known research awards from tobacco industry sponsors at your campus active as of close of FY 2010-11 (June 30, 2011).</p> <p>Include not only awards captured in sections 1 and 2 above, but also active awards begun prior to passage of RE-89 (begun before 09/20/2007), and therefore not subject to RE-89's new review/approval requirements.</p>					
Title	Tobacco industry sponsor	Amount	Subject to RE-89 review? (Yes/No). <i>Continuing projects begun before 09/20/2007 were not subject to RE-89 review/approval requirements</i>		
A.					
B.					
C.					
....					

¹ A copy of the Chancellor's written determination approving or disapproving submission of a proposal to seek funding from a tobacco industry sponsor is to have been sent to the researcher, the UC President, and the Regents, under RE-89.

² We will request that detailed information about any unfunded research proposals be kept internal, following usual University practice and applicable rules regarding public record.

**RE-89 IMPLEMENTATION REPORT:
University of California, Santa Cruz**

Campus RE-89 Implementation for Fiscal Year 2011 (July 1, 2010 - June 30, 2011)

Campus: Santa Cruz					
<p>1.</p> <p>A. During Fiscal Year 2011, how many research proposals (if any) at your campus were submitted to the scientific review committee to be established under RE-89, for review prior to submission to a tobacco industry sponsor? <u>None.</u></p> <p>B. Of those, how many did the Chancellor approve for submission by the campus to a tobacco industry sponsor?¹ <u>N/A</u></p> <p>C. Of those, how many were funded by a tobacco industry sponsor? <u>N/A</u></p>					
<p>2. For any research proposals identified in the previous section above (i.e., any research proposals subject to RE-89's review/approval requirements for FY 2010-11), please provide the following information²</p>					
Title	Description or Abstract	Proposed tobacco industry sponsor	Was this proposal approved by the Chancellor under RE-89? (and date of Chancellor's determination)	Was this proposal funded by a tobacco industry sponsor?	If yes – How much funding did this proposal receive from a tobacco industry sponsor (and date of award)?
A.					
B.					
C.					
....					
<p>3. Provide titles, sponsor, and award amounts for all known research awards from tobacco industry sponsors at your campus active as of close of FY 2010-11 (June 30, 2011).</p> <p>Include not only awards captured in sections 1 and 2 above, but also active awards begun prior to passage of RE-89 (begun before 09/20/2007), and therefore not subject to RE-89's new review/approval requirements.</p>					
Title	Tobacco industry sponsor	Amount	Subject to RE-89 review? (Yes/No). <i>Continuing projects begun before 09/20/2007 were not subject to RE-89 review/approval requirements</i>		
A.					
B.					
C.					
....					

¹ A copy of the Chancellor's written determination approving or disapproving submission of a proposal to seek funding from a tobacco industry sponsor is to have been sent to the researcher, the UC President, and the Regents, under RE-89.

² We will request that detailed information about any unfunded research proposals be kept internal, following usual University practice and applicable rules regarding public record.